



**BUSITEMA
UNIVERSITY**

Pursuing Excellence

**DROUGHT HAZARD MODELLING: EXPLORING AN INDICATIVE
DROUGHT INDICATOR FOR MALAWI**

Thokozani Kapichi



MSc. Climate Change and Disaster management

BU/GS18X/MCC/11

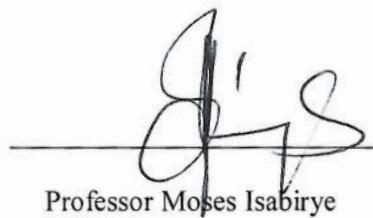
**A dissertation submitted to the Directorate of Graduate Studies, Research and
Innovations in partial fulfillment of the requirements for the award of Master of
Science in Climate Change and Disaster Management Degree of Busitema University**

Busitema University

September, 2021

Certification

The undersigned certify that they have read and hereby recommend for examination by Busitema University a thesis titled: ***Drought Hazard Modelling: Exploring an Indicative Drought Indicator for Malawi*** in partial fulfilment of the requirements for the degree of Master of Science in Climate Change and Disaster Management Degree of Busitema University.



Professor Moses Isabirye

(Supervisor)
Date: 20/09/2021

I. M. Tchuwa
Isaac Tchuwa PhD



(Supervisor)

Date: 20th September, 2021.

Declaration

I the undersigned hereby declare that this thesis is my own original work which has not been submitted to any other institution for similar purposes. Where other people's work has been used acknowledgements have been made.

THOKOZANI KAPICHI



Signature:

19th September, 2021

Date

Acknowledgements

I would like to thank Professor Moses Isabirye and Dr. Isaac Tchuwa for the wonderful guidance during this research work. My acknowledgements also extend to Malawi University of Science and Technology as well as Regional Universities Forum for Capacity Building in Agriculture (RUFORUM) for the financial support. I am also grateful to my family Mphatso, Yamikani, Chisomo, Mthunzi and Yankho for the material and emotional support. To following friends and relatives I also say thank you: Mum; Dr. Alice Nakiyeba Were; Chimwemwe; Alipo; Elias; and Mr and Mrs Kaphwiyo

List of Abbreviations

Abbreviation	Meaning
AMC	Available Moisture Content
CDMM	Comprehensive Drought Monitoring Model
CI	Composite Index
CMI	Crop Moisture Index
DCCMS	Department of Climate Change and Meteorological Services
DRA	Disaster Risk Assessment
EOS	Earth Observation System
GCMs	General Circulation Models
GDP	Gross Domestic Product
GMAS	Global Multi-Hazard Alert System
MaDI	Malawi Drought Index
MCDI	Modified Composite Drought Index
NSM	Normalized Soil Moisture
PA	Precipitation Anomaly
PDSI	Palmer Drought Severity Index
PET	Potential Evapo-transpiration
PHDI	Palmer Hydrologic Drought Index
PI	Percentile Index
PNP	Percent of Normal Precipitation
RCMs	Regional Climate Models
RUFORUM	Regional Universities Forum for Capacity Building in Agriculture
SADC	Southern African Development Community

SMDI	Soil Moisture Deficit Index
SMP	Soil Moisture Percentile
SPI	Standardised Precipitation Index
SRI	Standardized Runoff Index
SSI	Standardized Soil moisture Index
TCI	Temperature Condition Index
TRMM	Tropical Rainfall Measuring Mission
TVDI	Temperature Vegetation Drought Index
UNCCD	United National Convention to Combat Desertification
VCI	Vegetation Condition Index
VHI	Vegetation Health Index
VTCI	Vegetation Temperature Condition Index
WFP	World Food Programme

Abstract

With a single growing season, drought as a consequence of climate change has taken a toll on Malawi's agro-based economy. In the context of climate change, an accurate and comprehensive assessment of drought at regional level is very significant to sustain agricultural development and manage natural disasters. Despite considerable improvements, world drought models are still not able to accurately represent a large number of factors that are responsible for causing droughts across different regions of Africa such as Malawi. This research deploys a number of drought input variables, i.e., precipitation, minimum temperature, maximum temperature and potential evapotranspiration to construct a Malawi Drought Index (MaDI) using multiple linear regression and GIS in order to best explain the complex interaction of major drought indicators, as well as to validate the accuracy of global drought models in the country. A strong positive correlation (8.4) was observed between the Malawi Drought Index (MaDI) and the Standardized Precipitation Index (SPI) suggesting that the MaDI does not depart very much from the existing drought models. This research therefore promotes the improvement of the MaDI as a new, easier and better local drought prediction and assessment method in Malawi.

Key words: *Drought, Climate Change, Drought Indicators, Drought Model*

Table of Contents

Acknowledgements	v
List of Abbreviations	vi
Abstract.....	viii
List of tables.....	xii
List of figures	xii
CHAPTER ONE: INTRODUCTION	1
1.1 General Introduction	1
1.2 Statement of the problem	3
1.3 Rationale of the study	4
1.4 Objectives.....	5
1.4.1 Main objective	5
1.4.2 Specific objectives.....	5
1.5 Research questions.....	6
CHAPTER TWO: LITERATURE REVIEW	7
2.1 Drought definitions and concepts	7
2.1.1 Drought.....	7
2.1.2 Drought Vulnerability	8
2.1.3 Drought disaster risk	9
2.1.4 Drought resilience.....	10
2.1.5 Drought Risk Assessment.....	10
2.2 Types of drought.....	11
2.2.1 Meteorological drought	11
2.2.2 Hydrological drought.....	11
2.2.3 Agricultural drought.....	12
2.2.4 Socio-economic drought.....	12
2.3 Drought extent, impacts and vulnerability	13
2.3.1 Global experiences	13
2.3.2 Drought experiences in Africa	14
2.3.3 Drought experiences in Malawi	16
2.4 An overview of the major drought indicators/indices and their challenges	22
2.4.1 Standardised Precipitation Index (SPI).....	24
2.4.2 Palmer Drought Severity Index (PDSI).....	25
2.4.3 Percentile of Normal	26
2.4.4 Composite Index of meteorological drought (CI).....	27

2.4.5	Z-index	27
2.5	Developing a drought model using multi linear regression.....	28
2.5.1	Correlation	28
2.5.2	Multi-collinearity	29
2.5.3	Multiple Linear Regression	30
2.5.4	Assessing Model adequacy	30
	CHAPTER THREE: METHODS AND MATERIALS	31
3.1	Study area.....	31
3.2	Data collection and pre-processing	33
3.3	Model development.	38
3.4	Drought Mapping and model validation	38
3.5	MaDI web based platform.....	38
	CHAPTER FOUR: RESULTS AND DISCUSSION.....	40
4.1	Malawi Drought Index (MaDI)	40
4.2	Drought mapping and model validation.....	41
4.3	Web based MaDI platform for drought prediction	45
4.4	Discussion	49
4.4	Limitation of the study	51
	CHAPTER FIVE: CONCLUSION AND RECOMMENDATION	52
5.1	Conclusion.....	52
5.2	Recommendations.....	53
6.0	REFERENCES	54

List of tables

Table 2.1: SPI classification	24
Table 2.2: PSDI classes	25
Table 3.1: Correlation for drought variables.....	34
Table 4.1: Regression STATA output	40
Table 4.2: MaDI cut off points.....	41
Table 4.3: correlation analysis between MaDI and SPI.....	45

List of figures

Figure 2.1: Rainfall changes in Africa.....	15
Figure 2.2: Combined flood and drought risk for Malawi	17
Figure 2.3: Malawi's annual average rainfall (1970-2000)	18
Figure 3.1: Framework for constructing the MaDI	31
Figure 3.2: Map showing the study area.....	32
Figure 3.3: Distribution of data collection weather stations	33
Figure 3.4: A comparison of rainfall pattern between observed data and Li et al., (2017).....	36
Figure 3.5: A comparison of temperature pattern between observed data and Li et al., (2017)	37
Figure 4.1: comparison between MaDI and SPI (2014-2019)	44
Figure 4.2: Sample excel sheet for MaDI platform.....	46
Figure 4.3: MaDI dashboard.....	47
Figure 4.4: Results display on MaDI platform.....	48

CHAPTER ONE: INTRODUCTION

1.1 General Introduction

Being an agro-based economy, drought occurrences have been one of the costliest disasters in Malawi: these economically and environmentally impact the country's agricultural systems.

Drought in Malawi is said to occur when seasonal rainfall is lower than 75% of the normal rainfall that the country receives. The whole country is nearly vulnerable to droughts, however, Karonga, Salima, Zomba and Shire Valley are the major drought prone areas (Chabvungma et al., 2010).

The occurrence of drought phenomenon is increasing in Malawi, for instance, between 1980 and 2019 alone, Malawi has experienced eight major droughts, in total affecting over 24 million people (World Bank, 2016). The impact, frequency and spread of drought in Malawi are likely to worsen with the changing climate. More places are becoming drier as climate continues to change (USAID, 2019b).

Consequently, droughts and dry spells in Malawi cause, on average, 1 percent loss of Gross Domestic Product (GDP) annually (Chabvungma et al., 2010). More recently the impacts of drought and resultant food security crisis overwhelmed Malawi's national response capacity to the extent that the government of Malawi declared a national drought emergency in April 2016.

Despite considerable improvements in global and national drought models, most are still not able to accurately represent the large number of complex factors responsible for causing the droughts across various regions of African continent such as Malawi.

6.0 REFERENCES

- ADRA INTERNATIONAL. (2017). *Inter-Sectoral Response to Drought in Southern and Eastern Africa*. May.
- Agwata, J. F. (2014). A Review of Some Indices used for Drought Studies. *Civil and Environmental Research*, 6(2), 14–21.
[http://www.iiste.org/Journals/index.php/CER/article/view/10842%0Ahttps://www.uonbi.ac.ke/agwatas/files/10842-13144-1-pb.pdf%5Cnhttp://www.iiste.org/Journals/index.php/CER/article/view/10842/11145](http://www.iiste.org/Journals/index.php/CER/article/view/10842)
- Benson, C., & Clay, E. (1994). *THE IMPACT OF DROUGHT ON SUB-SAHARAN AFRICAN ECONOMIES linkages and multiplier effects , implies that the final expenditure , the intensity of water usage , levels of GDi ' per capita and natural resource Simple Economies - predominantly rain-fed duction .* 25(4).
- Chabvungma, S. D., Mawenda, J., & Kambauwa, G. (2010). *drought conditions and management strategies in Malawi*. 1–9.
- Chen, S., Wushuang, Z., Pan, S., Xie, Q., & Tae-Woong, K. (2020). *Comprehensive Drought Assessment Using a Modified Composite Drought index : A Case Study in Hubei Province, China.*
- Coulibaly, J. Y., Gbetibouo, G. A., Kundhlande, G., Sileshi, G. W., & Beedy, T. L. (2015). Responding to crop failure: Understanding farmers' coping strategies in Southern Malawi. *Sustainability (Switzerland)*, 7(2), 1620–1636.
<https://doi.org/10.3390/su7021620>
- Fasemore, O. A. (2019). The impact of drought on groundwater resources: *Water Sewage and Effluent*, 39(3), 37–38.

- Fuchs, B. (2012). *Drought Indices and Indicators in use around the World Types of Drought*.
- Gan, T. Y. E. W., Ito, M., & Huelsmann, S. (2012). *Drought, climate and hydrological conditions in Africa*. 1.
- Hao Z, Vijay S, X. Y. (2018). *Seasonal Drought Prediction: Advances, Challenges, and Future Prospects*. <https://doi.org/10.1002/2016RG000549>
- Hisdal, H. & L. M. T. (2000). *Technical Report No. 6 Drought Event Definition*. 6.
- Horion, S., Singleton, A., Barbosa, P., & Vogt, J. (2012). *JRC experience on the development of Drought Information Systems*. <https://doi.org/10.2788/15761>
- Jayanthi, H., Husak, G. J., Funk, C., Magadzire, T., Chavula, A., & Verdin, J. P. (2013). Modeling rain-fed maize vulnerability to droughts using the standardized precipitation index from satellite estimated rainfall-Southern Malawi case study. *International Journal of Disaster Risk Reduction*, 4, 71–81. <https://doi.org/10.1016/j.ijdrr.2013.02.001>
- John Mawenda, S. C. and G. K. (2015). *Drought Conditions and Management Strategies in Malawi*.
- Kumbuyo, C. ., & Yasuda, H. (2015). Study on Rainfall Time Series in Malawi: An Analysis of the Temporal and Spatial Fluctuation, Linkage with Global Sea Surface Temperature. *Nhk技術研*, 151, 10–17.
- Li, G., Messina, J. P., Peter, B. G., & Snapp, S. S. (2017). Mapping Land Suitability for Agriculture in Malawi. *Land Degradation and Development*, 28(7), 2001–2016. <https://doi.org/10.1002/ldr.2723>
- Malawi Government. (2016). *MALAWI DROUGHT 2015-2016*.
- Munthali, G. ., Saka, J., Kamdonyo, D. ., Kasulu, V., Nkhokwe, J. ., & Kainja, S. (2003).

- Drought Case Study for Malawi. *The British Journal of Psychiatry*, 111(479), 1009–1010. <https://doi.org/10.1192/bjp.111.479.1009-a>
- National Weather Service. (2006). *What is Drought?: Public Fact Sheet, August*.
- Ngarukiyimana, J., Tan, G., Ongoma, V., Ogwang, B., & Vuguziga, F. (2016). Application of Z - index in analysis of recent March - May drought over Rwanda. *Romanian Journal of Meteorology*, 13(1–2), 1–16. <https://doi.org/10.13140/RG.2.2.21016.29447>
- Nhamo, L., Mabhaudhi, T., & Modi, A. T. (2019). Preparedness or repeated short-term relief aid? Building drought resilience through early warning in southern africa. *Water SA*, 45(1), 75–85. <https://doi.org/10.4314/wsa.v45i1.09>
- Ogwang, B. A., Guirong, T., & Haishan, C. (2012). Diagnosis of September -November Drought and the Associated Circulation Anomalies Over Uganda. *Pakistan Journal of Meteorology*, 9(17), 11–24. http://www.pmd.gov.pk/rnd/rnd_files/vol8_issue17/2.pdf
- Panis, M. (2019). *Assessing the forecast skill of agricultural drought forecast from satellite-derived products in the Lower Shire River Basin*.
- Pauw, K., Thurlow, J., & van Seventer, D. (2010). Droughts and Floods in Malawi: Assessing the Economywide Effects. *IFPRI Discussion Paper*, 00962(April). <http://www.ifpri.org/publication/droughts-and-floods-malawi?print>
- Reichhuber, A., Gerber, N., Mirzabaev, A., Svoboda, M., Santos, A. L., Graw, V., Stęfanski, R., Davies, J., Vuković, A., García, M. A. F., Fati, C., & Jia, X. (2019). *The Land-Drought Nexus: Enhancing the role of land-based interventions in drought mitigation and risk management: A Report of the Science-Policy Interface*.
- Save the Children, & UNICEF. (2017). *Adaptation and response for children affected by droughts and disasters: how national social protection systems are responding to El*

Niño in Eastern and Southern Africa. April:

Serdeczny, O., Adams, S., Baarsch, F., Coumou, D., Robinson, A., Hare, W., Schaeffer, M.,

Perrette, M., & Reinhardt, J. (2017). Climate change impacts in Sub-Saharan Africa:

from physical changes to their social repercussions. *Regional Environmental Change*,

17(6), 1585–1600. <https://doi.org/10.1007/s10113-015-0910-2>

Sheffie, J. (2016). *Drought Monitoring and Forecasting in Sub-Saharan African.*

Shrestha, N. (2020). *Detecting Multicollinearity in Regression Analysis. June*; 1–5.

<https://doi.org/10.12691/ajams-8-2-1>

Syroka, J., & Nucifora, A. (2010). National drought insurance for Malawi. *World, January*.

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1536982

Tadesse, T. (2018). *Strategic Framework for Drought Risk Management and Enhancing*

Resilience in Africa. https://www.unccd.int/sites/default/files/relevant-links/2018-04/DRAPA_strategic_framework_final_ttadesse2.pdf

Tadesse, T., Senay, G., Wardlow, B. D., Knutson, C. L., Tadesse, T., Haile, M., Senay, G.,

Wardlow, B. D., & Knutson, C. L. (2008). *DigitalCommons @ University of Nebraska - Lincoln The Need for Integration of Drought Monitoring Tools for Proactive Food*

Security Management in Sub-Saharan Africa The need for integration of drought monitoring tools for proactive food security management.

UNDP. (2011). *Mainstreaming Drought Risk Management- a primer.*

UNDP. (2015). *Drought risk reduction training manual in integrated water resources management.*

United Nations. (2012). *Drought Contingency Plans and Planning in the Greater Horn of Africa.*

- USAID. (2019a). *Climate risks in food for peace geographies: Malawi. March.*
- USAID. (2019b). *Climate Risks in Food for Peace Geographies in Malawi. March.*
- Warnatzsch, E. A., & Reay, D. S. (2019). Temperature and precipitation change in Malawi: Evaluation of CORDEX-Africa climate simulations for climate change impact assessments and adaptation planning. *Science of the Total Environment*, 654, 378–392.
<https://doi.org/10.1016/j.scitotenv.2018.11.098>
- Wilhite, D A, & Knutson, C. L. (2006). Drought management planning : Conditions for success. *Options Méditerranéennes, Series A*, 80, 141–148.
- Wilhite, Donald A. (2000). *Drought as a Natural Hazard : Concepts and Definitions.*
- WMO. (2016). *Handbook of Drought Indicators and Indices* (Issue 1173).
- World Bank. (2016). *Malawi Drought Recovery and Resilience.*
- World Bank. (2019). *Assessing Drought Hazard and Risk.*
- World Meteorological Organization. (2005). *Drought assessment and forecasting*. 8.
- Yu, H., Li, L., Liu, Y., & Li, J. (2019). *Construction of Comprehensive Drought Monitoring Model in Jing-Jin-Ji Region Based on Multisource Remote Sensing Data*, 1–17.
- Zaid, M. A. (2015). *Correlation and Regression Analysis.*