Open Access & IR Policies: Faculty Consultations, November 2022

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Overview

- Rationale of the Policies

- Open Access - defined and contextualized

- Key Components of the OA Policy

- Key highlights of the OA Policy

- Busitema Digital Environment for Access to Research and Scholarship (BDEARS) - defined

- BDEARS: Policy Components

- Walk-through the policies

Rationale – Policies

- Support the University's mission - Providing greater access to scholarly research

- Collect, preserve, and maintain access to significant components of the University's scholarly and research output

- Distribution, dissemination, promotion, and use of research and scholarship produced at Busitema University – BDEARS Mission

- Guide the implementation of the IR – BDEARS

- Complement traditional scholarly publishing approaches

- Allow for dissemination and sharing research outputs

- Centralize the twin goals of access and preservation of the Universty's scholarly output

Open Access - Defined

OA has been widely studied, defined, and practiced - adapt the UNESCO definition, but also SPARC, and Open Access Movement.

Open Access - is to allow research/ scientific information, data and outputs to be more widely accessible - Users therefore gain timely, free and affordable access to research.

OA - Key Highlights

- Open Access (OA) literature is digital, online, free of charge, and free of most copyright and licensing restrictions.

- OA is made possible because of the internet and the consent of the author or copyright-holder.

- OA is entirely compatible with peer review - journal editors and referees participating in peer review

- OA literature is not free to produce, even if it is less expensive to produce than conventionally published literature.

- OA is not about making scholarly literature costless, but whether there are better ways to pay the bills than by charging readers and creating access barriers.

- Open Science (OS) – Open Access, Open data, Open to Society

OA - Content

- Scientific publications - peer-reviewed journal articles, research reports, conference papers, books and the related scientific outputs

- Research data - Open research data that include among others digital and analogue data, both raw and processed, and the accompanying metadata, etc

- Software - Open source software and source code that generally include software whose source code is made publicly available, in a timely and user-friendly manner.

- Hardware - Open hardware that generally include the design specifications of a physical object which are licensed in such a way that said object can be studied, modified, created, and distributed by anyone

OA @ Busitema University

- **2021 Open Access**

Theme "It Matters How We Open Knowledge: Challenging Established Norms"

Goal: To create awareness of open access on Campus - provide link to the 2021 activities

- **2022 Open Access**

Theme: "Open with Purpose: developing an Open Access Policy &Institutional Repository Policy for Busitema University."

Goal: To develop a policy framework to enable increased access to Busitema's research output

OA Policy: Key Components

o Information for, roles of, and support from for authors, librarians and administrators

o OA content coverage

o Related laws: The Copyright and Neighbouring Rights Act, 2006; and The Copyright and Neighbouring Rights Regulations, 2010

o Policy implementation - Office of the Vice-Chancellor - with support from the Research Openness Committee and Senate

o Busitema Copyright Statement

o Openness in research statement - research agreements and research dissemination - Open access waiver

o Hiring and promotion, and research funding

OA Policy - Key highlights

o The primary vehicles for delivering OA is through the BU IR, the BU Journal, and promoting OA archives.

o In hiring and promotion, the university will give due weight to all peer-reviewed publications, regardless of price or medium.

o Academic staff who publish articles must either:

   (a) retain copyright, and transfer only the right of first print and electronic publication, or

   (b) transfer copyright but retain the right of postprint archiving.

o When academic staff cannot get the funds to pay the processing fee charged by an OA journal from their research grant, then the University will pay the fee.

OA Policy - Key highlights

o Requires staff to deposit in BDEARS their research articles and preprints.

o All theses and dissertations, upon acceptance, are made openly accessible in BDEARS.

o All conferences hosted at Busitema University will provide open access to their presentations or proceedings, even if the conference also chooses to publish them in a priced journal or book.

o All journals hosted or published by Busitema University will either be OA or take steps to be friendlier to OA.

o Access restrictions are only justifiable on the basis of national security, confidentiality, privacy, and respect for subjects of study, legal process and public order provided.

BDEARS

- BDEARS - Busitema Digital Environment for Access to Research and Scholarship (BDEARS)

- is an institutional repository that collects, disseminates, and provides persistent and reliable access to the research and scholarship of academics, staff, and students at Busitema University

- runs on DSpace version 5.x - an open source digital repository software

- policy highlights the criteria for eligibility to deposit material into the IR for access, preservation, and quality control

- content - includes published and unpublished papers, dissertations, video and audio - produced at Busitema University

BDEARS: Policy Components

BDEARS follows a set of policies that guides what content is in it, how the content is described and preserved, copyrighted, and how content may be accessed and used.

o <u>Collection Policy</u> - collection will focus on deposits of electronic research, scholarship, and creative works, as well as materials that primarily reflect the intellectual environment of the University, by academic staff, students and (where appropriate) general staff of Busitema University

o <u>Copyright and Intellectual Property Rights Policy</u> - copyright holders must agree to a non-exclusive distribution and preservation license that allows the University Library to disseminate the deposited work over the web and make copies of that work for preservation purposes

BDEARS: Policy Components

o <u>Deposit agreement</u> - require certain permissions and warrants from the author, the author(s) and/or copyright owner. The copyright owner, by accepting this license, still retain copyright to the work and do not give up the right to submit the work to publishers or other repositories. The depositor, must represent that the copyright owner has given permission to deposit the work.

o <u>Digital preservation policy</u> - combined activities allow the University to better ensure access to digital scholarly content for as long as possible by whoever needs it. Committed to building collections of digital resources and maintaining them for use by students, academics, scholars, and the public long into the future,

BDEARS: Policy Components

o <u>Access and use policy</u> - Busitema University academics, staff, graduate and undergraduate students, affiliates, and anyone with access to the World Wide Web have access to deposit material into BDEARS

o <u>Access restriction policy</u> - By default, items in BDEARS have no access restrictions, that is, they are openly and freely available via the World Wide Web - policy provides for levels of access/ restrictions to the deposited Works

o <u>Withdraw policy</u> - Provides for necessity to withdraw works, the triggers for withdrawal that may include discovery of a copyright violation or publisher restrictions

BDEARS: Policy Components

o <u>Metadata policy</u> - minimum set of descriptive information (metadata) to be provided at the point of deposit as defined in the DSpace software, including Controlled Vocabularies and Standards.

o <u>Community Policy</u> - a scholarly unit that produces research and scholarly work has made the commitment to meet all Community responsibilities documented

o <u>Individual depositor policy</u> - outlines the roles, rights and responsibilities of an individual depositor to BDEARS

o <u>Policy governing dissertations and thesis</u> - applies to pre-and-post deposit – pre-&-post-Digitization era.

A Walk-Through the Policies

Open Access Policy - Open Access Policy to Scholarly Works at Busitema University

BDEARS - Busitema Digital Environment for Access to Research and Scholarship